

FORM PTO-1390 (REV. 10-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 19A 3812 PCT
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NUMBER (if known, see 37 C.F.R. 1.55) 10/564646
INTERNATIONAL APPLICATION NO. PCT/JP2003/09002		INTERNATIONAL FILING DATE 15 July 2003		PRIORITY DATE CLAIMED --
TITLE OF INVENTION		INDIVIDUAL AUTHENTICATION METHOD USING A RECORDING MEDIUM AND INDIVIDUAL AUTHENTICATION SYSTEM USING A RECORDING MEDIUM		
APPLICANT(S) FOR DO/EO/US		HITOSHI KOKUMAI and MAKOTO MIHARA		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (20) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input checked="" type="checkbox"/> is attached hereto (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input checked="" type="checkbox"/> is attached hereto</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>				
Items 11 to 19 below concern document(s) or information included:				
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>18. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input checked="" type="checkbox"/> Other items or information:</p> <p>a. Copy of Form PCT/RO/101</p> <p>b. Copy of Form PCT/IPEA/409 (International Preliminary Examination Report)</p> <p>c. Five (5) sheets of drawings</p>				

IAP20 Dec 13 2005 13 JAN 2006

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<p>20. <input checked="" type="checkbox"/> The following fees are submitted:</p> <table> <tr> <td>Basic national fee (37 CFR 1.492(a)).....</td> <td>\$300</td> </tr> <tr> <td>Examination fee (37 CFR 1.492 (c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....</td> <td>\$ 0</td> </tr> <tr> <td>All other situations.....</td> <td>\$200</td> </tr> <tr> <td>Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....</td> <td>\$ 0</td> </tr> <tr> <td>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....</td> <td>\$100</td> </tr> <tr> <td>International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....</td> <td>\$400</td> </tr> <tr> <td>All other situations.....</td> <td>\$500</td> </tr> </table>		Basic national fee (37 CFR 1.492(a)).....	\$300	Examination fee (37 CFR 1.492 (c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....	\$ 0	All other situations.....	\$200	Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....	\$ 0	Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....	\$100	International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....	\$400	All other situations.....	\$500	CALCULATIONS PTO USE ONLY _____
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All other situations.....	\$500															
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 900														
Surcharge of \$130 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 C.F.R. 1.492(e)).		□ 20 □ 30 \$														
CLAIMS	NUMBER FILED	NUMBER ALLOWED	NUMBER EXTRA	RATE												
Total claims	20[45]	20	25	\$.50	\$1,250											
Independent claims	5	3	2	\$ 200	\$ 400											
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+	\$360	\$ 360											
TOTAL OF ABOVE CALCULATIONS =					\$2,910											
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$1,455											
SUBTOTAL =					\$1,455											
Processing fee of \$130 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).					□ 20 □ 30 +											
TOTAL NATIONAL FEE =					\$1,455											
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40 per property					+											
TOTAL FEES ENCLOSED =					\$1,455											
					Amt. Refunded \$											
					Amt. charged											
<p>a. <input checked="" type="checkbox"/> Check in the amount of <u>\$1,455</u> to cover the above fees are enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 11-1445 in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1445. A duplicate copy of this sheet is enclosed.</p>																
<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>																
<p>SEND ALL CORRESPONDENCE TO: KODA & ANDROLIA 2029 Century Park East, Suite 1140 Los Angeles, CA 90067-2983 Dated: January 13, 2006</p>																
<p> SIGNATURE H. Henry Koda REG.NO. 27,729</p>																
<p>Form PTO-1390 (REV 10-2003)</p>																

10/564646
IAP20 Rec'd PMR/PTO 13 JAN 2006

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EV714371646US addressed to:

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

on January 13, 2006, by Inja Yi.

Dated: 1/13/06

By: Inja Yi

19A 3812 PCT

OKUMURA

Applicant(s):

HITOSHI KOKUMAI and MAKOTO MIHARA

For:

INDIVIDUAL AUTHENTICATION METHOD USING A RECORDING MEDIUM AND INDIVIDUAL AUTHENTICATION SYSTEM USING A RECORDING MEDIUM